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QUESTION 1

A root cause analysis can best be described as a process that is used to:

- A. evaluate corrective action plans
- B. determine the basic reason for an undesirable condition
- C. identify the symptoms of an undesirable condition
- D. differentiate between major and minor problems

Correct Answer: B

QUESTION 2

Who is responsible for preparing the corrective action plan?

- A. The auditor
- B. The auditee
- C. The registrar D. The audit manager

Correct Answer: B

QUESTION 3

Managing the quality audit process includes ensuring that which of the following audit functions occur?

- I. Preparing and issuing the annual audit schedule
- II. Issuing audit reports in a timely manner
- III. Identifying appropriate corrective actions
- IV. Assessing the effectiveness of the quality audit process

- A. I and II only
- B. I and III only
- C. I and IV only

II and IV only

D.

I, II, and IV only

Correct Answer: D

QUESTION 4

An audit report should contain which of the following?

- A. Audit findings
- B. Root cause analysis
- C. Corrective action
- D. Re-audit schedule

Correct Answer: A

QUESTION 5

A quality audit observation is defined as:

- A. a noted item of objective evidence found during an audit
- B. an accepted level of audit findings defined as "major" and "minor"
- C. an auditor's initial notice of a potential nonconformance
- D. the lead auditor's perception of a potential problem

Correct Answer: A

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