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QUESTION 1

The calculation of profit and loss for securities includes:

- A. Realised (potential) PandLand Unrealised (actual) PandL
- B. Realised (projected) PandL and Unrealised (authentic) PandL
- C. Realised (predicted) PandL and Unrealised (likely) PandL
- D. Realised (actual) PandLand Unrealised (potential) PandL

Correct Answer: D

QUESTION 2

A bond which pays no interest during its lifetime sand repays capital on its maturity date is known as:

- A. A zero interest bond
- B. A nil interest bond
- C. A zero coupon bond
- D. A zero interest note

Correct Answer: C

QUESTION 3

The integrity of internal books and records for securities is proven by ensuring:

- A. The total ownership position is equal to the sum of open and closed trades with counterparties
- B. The total settled position is equal to the sum of open trades and trades with a future value date
- C. The total ownership position is equal to the sum of the settled positions plus/minus open trades with counterparties
- D. The total settled position is equal to the sum of open and closed trades with counterparties

Correct Answer: C

QUESTION 4

The '\\settlement cycle\\' within a securities market refers to the number of days:

- A. Between a trade\\'s trade date and the actual settlement date of a trade
- B. Between a security\\'s issue date and the value date of a trade

- C. Between a trade's trade date and its value date
- D. Between the trade date of a trade and the issue date of a security

Correct Answer: C

QUESTION 5

The type of organisation that specializes in deposit-taking and cash loans is known as a:

- A. Mutual fund
- B. Commercial bank
- C. Investment bank
- D. Private bank

Correct Answer: B

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